

# Simulation Methods for Looping Transitions

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**Looping transitions occur in field-swept electron magnetic resonance spectra near avoided crossings and involve a single pair of energy levels that are in resonance at two magnetic field strengths, before and after the avoided crossing. When the distance between the two resonances approaches a linewidth, the usual simulation of the spectra, which results from a linear approximation of the dependence of the transition frequency on magnetic field, breaks down. A cubic approximation to the transition frequency, which can be obtained from the two resonance fields and the field-derivatives of the transition frequencies, along with linear (or better) interpolation of the transition-probability factor, restores accurate simulation. The difference is crucial for accurate line shapes at fixed angles, as in an oriented single crystal, but the difference turns out to be a smaller change in relative intensity for a powder spectrum. Spin- $\frac{3}{2}$  Cr<sup>3+</sup> in ruby and spin- $\frac{5}{2}$  Fe<sup>3+</sup> in transferrin oxalate are treated as examples.** © 1998 Academic Press

**Key Words:** simulation of  $S > 1$ ; looping transition; chromium ion; iron ion; transferrin.

## INTRODUCTION

A “looping transition” occurs in field-swept electron magnetic resonance (EMR) spectra of  $S > \frac{1}{2}$  systems at fields and molecular orientations where energy levels have avoided crossings: a given pair of energy levels is in resonance at two separate magnetic fields, before and after the (avoided) crossing. A plot of the two resonance fields as a function of the orientational angles is typically U-shaped or, in some cases, a closed oval. Figure 1 displays a looping transition in ruby.

When the separation between the two resonance fields of a looping transition is within a few linewidths (in Fig. 1, when  $\theta$  is close to  $30^\circ$ ), accurate simulation of the lineshape becomes complicated. We present here a simple computational solution for calculating EMR spectra for looping transitions. The key idea is to approximate the highly non-linear difference between the two energy levels as a cubic polynomial in the magnetic field by using four constants already calculated in determining the resonance fields (1, 2).

Looping transitions have been studied experimentally and theoretically in depth by Pilbrow *et al.* (3) and by Wang and

Pilbrow (4) for  $S = \frac{3}{2}$  systems. In the EMR of a ruby single crystal at 9.521 GHz, looping transitions are important when the angle between the  $c$  axis and the magnetic field is near  $30^\circ$  and near  $89^\circ$ . Pilbrow *et al.* (3) were especially concerned with the asymmetry in width and intensity of the two companion resonances when close together. They simulated the field-swept EMR spectra by computing, field-point by field-point, the transition frequencies  $\nu(B)$  and corresponding squared transition-dipole matrix elements  $|V_{ij}(B)|^2$  for use in the (frequency-swept) formula for the spectral response function  $S(B)$ ,

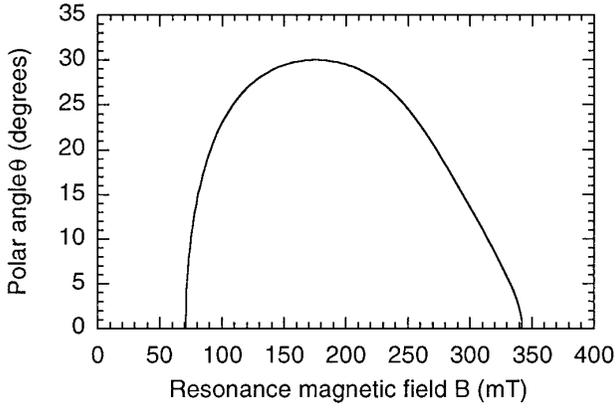
$$S(B) = C' |V_{ij}(B)|^2 f(\nu(B) - \nu_0, \sigma_\nu). \quad [1]$$

Here  $f(\nu - \nu_0, \sigma_\nu)$  is a (frequency-swept) lineshape function centered at the spectrometer operating frequency  $\nu_0$ , and  $\sigma_\nu$  is the linewidth in the frequency domain. [Notation difference: we use  $\nu_0$  where Pilbrow *et al.* (3) use  $\nu_c$ , and we use  $\nu(B)$  where they use  $\nu_0(B)$ .]

At each field strength the calculation of  $\nu(B)$  and  $|V_{ij}(B)|^2$  requires a matrix diagonalization. In a powder simulation, where the calculational effort is multiplied by the number of orientations and the number of field points, Eq. [1] is often simplified by assuming that the transition frequency varies linearly with the magnetic field near resonance,  $\nu(B) - \nu_0 \sim (B - B_0)b$ , and that the transition-dipole is constant, to obtain an equivalent, but approximate, field-swept lineshape function valid near  $B_0$ :

$$S(B) \sim C' |V_{ij}(B_0)|^2 f((B - B_0)b, \sigma_\nu). \quad [2]$$

Here  $b$  is a constant, and the resonance field  $B_0$  is where  $\nu_0 = \nu(B_0)$ . The considerations for making the frequency-to-field conversion were discussed by Aasa and Vännngård (5) and by Pilbrow (6). In the simplest case, the separation of the energy levels near a transition is a linear function of magnetic field, so that the field-normalized intensity of the lineshape is inversely proportional to the effective  $g$  factor. More generally, the field-normalized intensity is inversely proportional to  $|b| = |d\nu(B_0)/dB_0|$ , the derivative with respect to field of the sepa-



**FIG. 1.** Resonance magnetic field versus polar angle for a typical looping transition in ruby. See text for details.

ration of energy levels between which transition occurs (5). Neither of these approximations is accurate at a looping transition.

EMR spectra provide many other examples, besides that of ruby, of looping transitions in well-studied samples. Some of these examples include the  $S = \frac{5}{2}$  systems, oriented single crystals of sodium- or lithium-compensated ferric centers in  $\alpha$ -quartz (7, 8), and an  $S = \frac{3}{2}$  example, alkali halide doped with  $\text{Cr}(\text{CN})_6^{3-}$  (9). In biological samples, interdoublet transitions in EMR of  $S = \frac{5}{2}$  systems provide examples of looping transitions when the applied microwave frequency is in the range of 1 to 4 times the zero-field splitting parameter  $D$ . This condition is found in X-band EMR of high-spin ferric transferrin (10, 11) and in W-band simulations with parameters characteristic of ferric lipoyxygenase (12). To illustrate applications of the cubic polynomial approach to converting frequency-swept lineshapes to field-swept ones, we first revisit the ruby example of Pilbrow *et al.* and then apply the technique to simulations of the X-band EMR spectra relevant to transferrin oxalate.

### HOW THE LINEAR APPROXIMATION FAILS NEAR A LOOPING POINT: $S = \frac{3}{2}$ , AXIALLY SYMMETRIC CASE

To derive an approximate field-swept lineshape function is to replace the right-hand side of Eq. [1] with an expression explicitly simple in its dependence on  $B - B_0$  and accurate. To illustrate the problem and its solution, we borrow the ruby case discussed by Pilbrow *et al.* (3), which (i) provides a good experimental example of a looping transition in single crystal studies, and which (ii) is relatively simple. The ruby crystal contains two magnetic  $S = \frac{3}{2}$   $\text{Cr}^{3+}$  impurity sites related by inversion and has the axially symmetric spin-Hamiltonian (3),

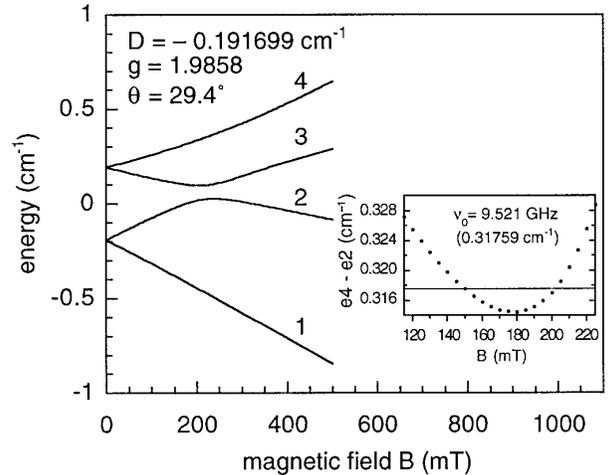
$$\hat{H} = \beta [g_{\parallel} S_z B_z + g_{\perp} (S_x B_x + S_y B_y)] + D(S_z^2 - \frac{1}{3} S^2). \quad [3]$$

The experimental parameters (3) (with uncertainties omitted)

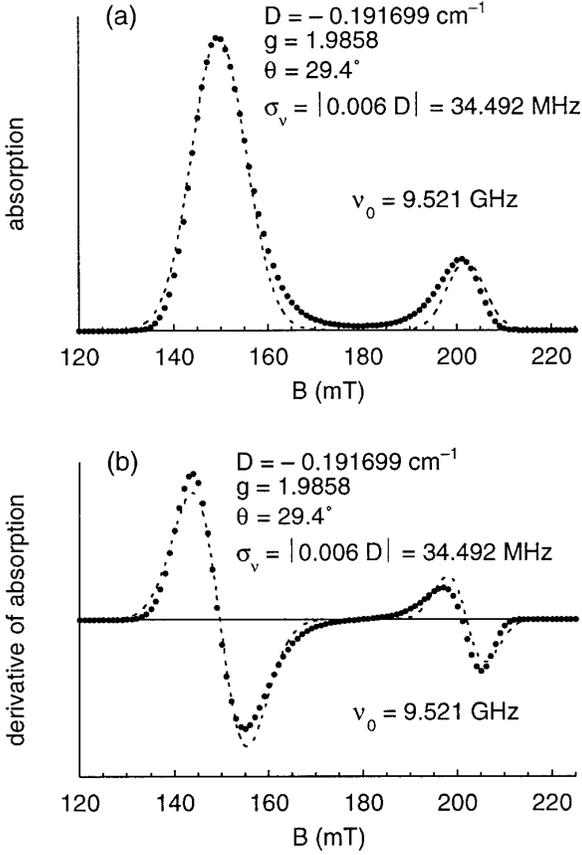
are  $g_{\parallel} = 1.9840$ ,  $g_{\perp} = 1.9867$ , and  $D = -5.747 \text{ GHz} = -0.191699 \text{ cm}^{-1}$ . Following Ref. (3), we replace  $g_{\parallel}$  and  $g_{\perp}$  by an isotropic average  $g = 1.9858$ . The energy levels of Eq. [3] are easily obtained by diagonalizing a  $4 \times 4$  matrix.

Pilbrow *et al.* (3) showed that when the angle  $\theta$  between the magnetic field and the crystal  $c$  axis ( $z$  axis in Eq. [3]) was near  $30^\circ$  or  $89^\circ$ , the two branches of looping transitions are seen in a magnetic field range that is a small multiple of the apparent linewidth. We discuss here the  $30^\circ$  case, which we have already visited in Fig. 1, where the coalescence and disappearance of the looping transition near  $30^\circ$  is apparent. We set  $\theta = 29.4^\circ$  and plot in Fig. 2 the four energy levels as  $B$  increases from 0 to 500 mT. Notice that the two middle levels, labeled by 2 and 3 in the figure, undergo an avoided crossing near  $B = 200$  mT. The experimentally observed looping transition links Level 2 with Level 4 and takes place at a frequency of  $9.521 \text{ GHz} = 0.317586 \text{ cm}^{-1}$ . The inset in Fig. 2 shows how the energy separation between these two levels passes through resonance first near 150 mT and a second time near 200 mT. (In high magnetic field, Level 4 has  $m_s = +\frac{3}{2}$ , while Level 2 has  $m_s = -\frac{1}{2}$ .)

Next we plot in Fig. 3 the field-swept EMR spectrum for  $\theta = 29.4^\circ$ . The dots are an “exact” application of Eq. [1] with  $B$  running from 120 to 225 mT in steps of 1 mT. The dot spectrum can be compared to Fig. 2a of Ref. (3). The dashed line in Fig. 3 is the result of using the usual “linear approximation” for  $\nu(B)$  at each of the two resonance fields, Eq. [2], twice. The fit is not bad, but shows discrepancies between the two resonances that get worse as  $\theta$  increases (see below).



**FIG. 2.** The magnetic-field dependence of the energy levels for an axially symmetric  $S = \frac{3}{2}$  system with Hamiltonian  $\hat{H}$  given by Eq. [3]. The magnetic field  $\mathbf{B}$  is set at an angle of  $29.4^\circ$  with the  $z$  axis, and we have taken  $D = -5.747 \text{ GHz} = -0.191699 \text{ cm}^{-1}$  and  $g_{\parallel} = g_{\perp} = g = 1.9858$ . This is a case discussed by Pilbrow *et al.* (3) for  $\text{Cr}^{3+}$  transitions in a ruby single crystal. The inset shows the dependence on magnetic field of the energy separation (in  $\text{cm}^{-1}$ ) of the Levels 2 and 4 in the looping transition discussed in the text, observed experimentally at  $9.521 \text{ GHz} = 0.317586 \text{ cm}^{-1}$ . Note that the  $2 \rightarrow 4$  looping transition is created by an avoided crossing between Levels 2 and 3.



**FIG. 3.** Exact and approximate calculations of spectra are compared for the X-band (9.521 GHz) transitions between Levels 2 and 4 shown in Fig. 2, a case taken from Pilbrow *et al.* (3): (a) absorption, (b) derivative of absorption. For the exact calculation, the energy matrix was diagonalized at 1 mT intervals using Eq. [1] (dots). This is compared with the sum-of-the-two-resonances linear approximation (dashed line), for which only two diagonalizations, one at each of the resonance fields, were used. For the dots, the transition probability factor was determined at each field, while for the dashed line only the two probabilities at each of the resonance fields were used. The lineshapes were Gaussian in both cases. For the exact calculation,  $\nu(B) - \nu_0$  is substituted directly into the  $f$  of Eq. [8], while the sum-of-the-two-resonances linear approximation used Eq. [9]. In both cases  $\sigma_v$  was  $|0.006 D| = 0.0011502 \text{ cm}^{-1} = 34.482 \text{ MHz}$ . Remaining physical parameters are given in the figure itself.

The approximation (see Ref. (5)) that leads to Eq. [2] for a field-swept lineshape results from expanding  $\nu(B)$  and  $|V_{ij}(B)|^2$  about the resonance field  $B_0$  and keeping just the leading terms,

$$\nu(B) - \nu_0 = (B - B_0) \frac{d\nu(B_0)}{dB_0} + \frac{1}{2} (B - B_0)^2 \frac{d^2\nu(B_0)}{dB_0^2} + \dots, \quad [4]$$

$$\sim (B - B_0)b \quad [5]$$

$$|V_{ij}(B)|^2 = |V_{ij}(B_0)|^2 + \dots, \quad [6]$$

where

$$b = \frac{d\nu(B_0)}{dB_0} = \frac{1}{h} \frac{d\Delta E(B_0)}{dB_0}. \quad [7]$$

Equation [5] is a *linear approximation* for  $\nu(B) - \nu_0$  as a function of  $B$ . The inset in Fig. 2 shows the magnetic field dependence of  $\nu(B)$  at  $\theta = 29.4^\circ$  for a looping transition. The horizontal line at  $\nu_0 = 9.521 \text{ GHz}$  intersects the curve at the two resonance fields, 149.646 and 201.961 mT. At these points, the gradients  $b = d\nu(B_0)/dB_0$  are  $-0.0001995$  and  $0.0002861 \text{ cm}^{-1}/\text{mT}$ , respectively. The approximation [4]–[7] has been used recently in simulations of both  $S = 2$  (13) and  $\frac{5}{2}$  (11) systems; numerous other references can be found in Ref. (6).

To calculate Fig. 3, one needs an explicit lineshape function,  $f(\nu - \nu_0, \sigma_v)$ . While a Lorentzian might be more fundamental, we opted for a Gaussian to mimic (slightly simplified) what Pilbrow *et al.* (3) used to fit the ruby spectra. In any event, the nature of  $f$  is not paramount to our main point, to be explicated later [approximating  $\nu(B) - \nu_0$  by a cubic polynomial in  $B - B_0$ ],

$$f(\nu(B) - \nu_0, \sigma_v) = \frac{1}{\sigma_v \sqrt{2\pi}} e^{-(\nu(B) - \nu_0)^2 / 2\sigma_v^2} \quad [8]$$

$$\sim \frac{1}{|b|(\sigma_v/|b|) \sqrt{2\pi}} e^{-(B - B_0)^2 / 2(\sigma_v/b)^2}. \quad [9]$$

For the width parameter  $\sigma_v$ , we took  $0.006 |D|/h$  [ $0.0011502 \text{ cm}^{-1} = 34.482 \text{ MHz}$ ]—a compromise of the several values used in Ref. (3) to fit the experiment.

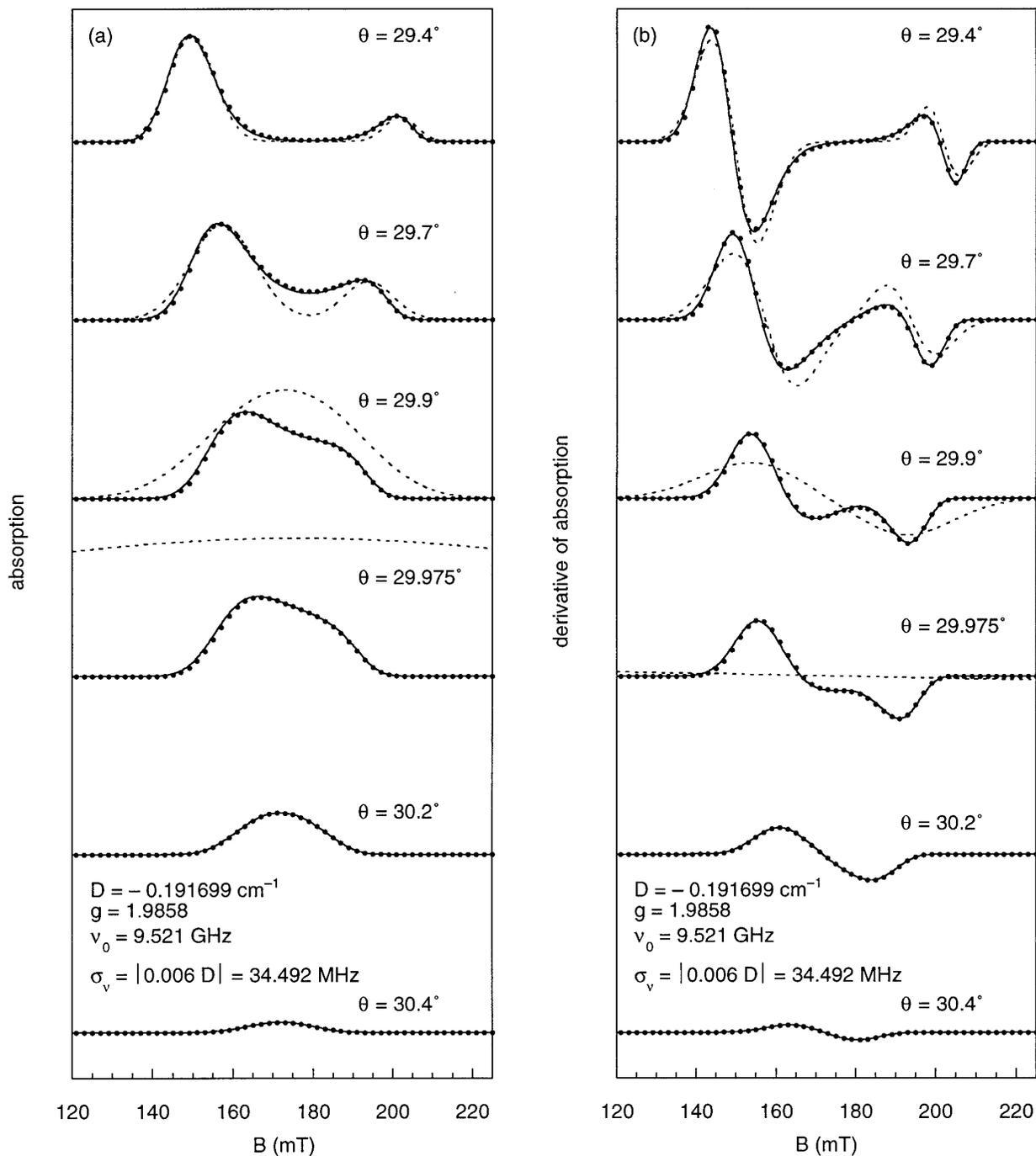
To calculate the intensity factor  $|V_{ij}(B)|^2$ ,

$$|V_{ij}(B)|^2 = |\langle \psi_i(B) | \mathbf{S} \cdot \mathbf{e}_1 | \psi_j(B) \rangle|^2, \quad [10]$$

one must specify the direction  $\mathbf{e}_1$  of the microwave field, which is perpendicular to the static field  $\mathbf{B}$ , but not necessarily to the crystal  $c(z)$  axis. Pilbrow *et al.* (3) took  $\mathbf{e}_1$  to be  $6^\circ$  out of the  $xy$  plane in their Fig. 2, but here we opted for simplicity and took  $\mathbf{e}_1$  to be in the  $x$  direction.

In this *first* sample calculation, patterned after Ref. (3), the separation of the resonance fields is 52.3 mT, while the left and right half-width-at half-maximum values are  $\sqrt{2 \ln 2} \sigma_v/|b| = 7.99$  and 5.57 mT, respectively. Thus the separation is a little less than 4 times the sum of the half-width values. The sum-of-two-separated-resonances (linear approximation) provides a fair fit in the example shown, but the deviation in the middle region is clearly visible in Fig. 3, as is a shift in the maximum on the right. More pronounced deviations are visible as  $\theta$  increases, and the resonance fields move closer together, coalesce, and then disappear, as is shown in Fig. 4. Notice that in Fig. 4 the total change in  $\theta$  is only  $1^\circ$ .

As in Fig. 3, the dots in Fig. 4 represent the spectral response function based on Eq. [1] with a Gaussian (Eq. [8]) for  $f$ , and



**FIG. 4.** Exact and approximate calculations of spectra are compared for the X-band (9.521 GHz) transitions between Levels 2 and 4 as  $\theta$  runs from  $29.4^\circ$  to  $30.4^\circ$ : (a) absorption, (b) derivative of absorption. For the exact calculation, the energy matrix was diagonalized at 2 mT intervals using Eq. [1] (dots). This is compared (i) with the sum-of-the-two-resonances linear approximation (dashed line), for  $\theta = 29.4^\circ, 29.7^\circ, 29.9^\circ,$  and  $29.975^\circ$ , which is just before coalescence at  $29.975899\dots^\circ$ . Also shown at all values of  $\theta$ , including two values  $30.2^\circ$  and  $30.4^\circ$  that are past the coalescence angle and for which there are no resonance fields, is (ii) the “cubic approximation” (solid curve that passes through most of the points), in which  $\nu(B) - \nu_0$  is approximated by a cubic polynomial in  $B$ , and in which the squared transition-dipole factors are approximated by linear interpolation ( $\theta = 29.4^\circ, 29.7^\circ, 29.9^\circ,$  and  $29.975^\circ$ ) or by (for convenience—see text) cubic interpolation ( $\theta = 30.2^\circ$  and  $30.4^\circ$ ). The lineshapes were Gaussian (Eq. [8]), and  $\sigma_v$  was  $|-0.006 D| = 0.0011502 \text{ cm}^{-1} = 34.492 \text{ MHz}$ . Remaining parameters are given in the figure itself.

the dashed line represents the sum of two separated resonance contributions using the linear approximation for  $\nu(B)$ . Notice in particular that at  $29.9^\circ$ , the usual approximation is symmet-

rical and featureless compared to the true lineshape. At  $29.975^\circ$ , the usual approximation is almost a straight line. In fact,  $|b| = |d\nu(B_0)/dB_0|$  is so close to 0, that  $e^{-(B-B_0)^2/2(\sigma_v/b)^2}$

$\sim 1$ , and the spectral response function approaches the constant,

$$S(B_0) \sim 2 \frac{C' |V_{ij}(B_0)|^2}{\sigma_v \sqrt{2\pi}}. \quad [11]$$

The factor of 2 (cf. Eq. [1] and Eq. [9], the latter with  $b = 0$ ) is from the equal contributions of the two resonances that are coalescing towards the same  $B_0$ . This is what is happening at  $29.975^\circ$  in the sum-of-two-separated-resonances linear approximation. Also shown in Fig. 4 via solid lines is the cubic approximation, discussed next.

### CUBIC APPROXIMATION NEAR THE LOOPING POINT

We saw in the preceding section that near a looping point the magnetic-field derivative of the transition energy approaches zero, causing the linear-approximation field-swept spectral formula to fail. The crux of the problem is to approximate the curve of  $\nu(B) - \nu_0$  vs  $B$ , such as is plotted in the inset of Fig. 2. The simplest solution that can also replicate asymmetry is to approximate  $\nu(B) - \nu_0$  by a cubic polynomial in  $B$ . Recall that the separated-resonance-linear-approximation required two parameters for each resonance:  $B_0$  and  $b = d\nu(B_0)/dB_0$ . For the two resonances, denote the four parameters by  $B_{01}$ ,  $B_{02}$ ,  $b_1 = d\nu(B_{01})/dB_{01}$ , and  $b_2 = d\nu(B_{02})/dB_{02}$ . Then there is a unique cubic polynomial in  $B$  (Hermite interpolation polynomial) passing through  $B_{01}$  and  $B_{02}$  with slopes  $b_1$  and  $b_2$ , respectively,

$$\nu(B) - \nu_0 \sim (B - B_{01})(B - B_{02})(c_0 + c_1 B) \quad [12]$$

$$c_0 = -\frac{b_1 B_{02} + b_2 B_{01}}{(B_{01} - B_{02})^2} \quad [13]$$

$$c_1 = \frac{b_1 + b_2}{(B_{01} - B_{02})^2}. \quad [14]$$

It is also necessary to model the transition-dipole factor, which changes significantly near the looping point, as is indicated by the asymmetry in intensities in Fig. 3. It turns out that linear interpolation between the values at  $B_{01}$  and  $B_{02}$  is usually satisfactory and again involves only the two squared-transition-dipole factors already calculated for the separated-resonance-linear-approximation:

$$|V_{ij}(B)|^2 \sim \frac{B - B_{02}}{B_{01} - B_{02}} |V_{ij}(B_{01})|^2 + \frac{B - B_{01}}{B_{02} - B_{01}} |V_{ij}(B_{02})|^2. \quad [15]$$

It would not be difficult to improve Eq. [15] to the quadratic or cubic level, if required. The approximation that results

from substituting Eqs. [12] and [15] into Eq. [1] is what we refer to as the ‘‘cubic approximation’’ (even though the slower-varying squared-transition-dipole factors are only approximated linearly):

$$S(B) = C' \left[ \frac{B - B_{02}}{B_{01} - B_{02}} |V_{ij}(B_{01})|^2 + \frac{B - B_{01}}{B_{02} - B_{01}} |V_{ij}(B_{02})|^2 \right] \times f((B - B_{01})(B - B_{02})(c_0 + c_1 B), \sigma_v). \quad [16]$$

The cubic approximation for  $\theta = 29.4^\circ$ ,  $29.7^\circ$ ,  $29.9^\circ$ , and  $29.975^\circ$  is plotted in Fig. 4 as an unbroken curve; it passes through each of the ‘‘exact’’ points at the resolution of the figure in this paper.

When  $\theta$  exceeds  $29.9759^\circ$ , the looping transition is no longer in resonance at any field. Nevertheless, it may still be seen in EMR if the frequency range of its linewidth overlaps the microwave frequency. This is the case in Fig. 4 for  $\theta = 30.2^\circ$  and  $30.4^\circ$ . (In Fig. 2 of Ref. (3), the  $30.4^\circ$  spectrum is shown experimentally.) It is quite straightforward to calculate such spectra theoretically by continuing to use a cubic approximation to  $\nu(B) - \nu_0$ ; however, formulas different from Eqs. [12]–[16] are required, since there are no resonance fields  $B_{01}$  and  $B_{02}$ . The procedure we used to obtain the cubic approximations for  $30.2^\circ$  and  $30.4^\circ$  in Fig. 4 was as follows: (i) Pick four  $B$  values,  $B_1$ ,  $B_2$ ,  $B_3$ , and  $B_4$ , that are in the vicinity of the looping point. (Here we used 165, 170, 175, and 180 mT.) (ii) Calculate  $\nu(B_k)$  and  $|V_{ij}(B_k)|^2$  for  $k = 1, 2, 3, 4$ . (iii) Use the Lagrange interpolation formula to specify cubic polynomials in  $B$  that pass through the four computed values respectively. For instance, for  $\nu(B)$ ,

$$\nu(B) \sim \nu(B_1) \frac{(B - B_2)(B - B_3)(B - B_4)}{(B_1 - B_2)(B_1 - B_3)(B_1 - B_4)} + \dots + \nu(B_4) \frac{(B - B_1)(B - B_2)(B - B_3)}{(B_4 - B_1)(B_4 - B_2)(B_4 - B_3)}, \quad [17]$$

and similarly for  $|V_{ij}(B)|^2$ . It is not necessary to use a cubic vs linear approximation, but because of the four-field nature of the approximation, cubic is the more convenient to implement. (iv) Substitute these cubic approximations into Eq. [1]. The agreement in Fig. 4 at  $30.2^\circ$  and  $30.4^\circ$  is excellent.

Note that if the Boltzmann factor for the transition,

$$[e^{-E_4(B)/kT} - e^{-E_2(B)/kT}] / \sum_{i=1}^4 e^{-E_i(B)/kT} \quad [18]$$

depends significantly on  $B$  in the region of the spectrum, then it can be incorporated into the cubic approximation by multiplying it into the  $|V_{ij}(B)|^2$  factor before fitting the latter.

We remark in passing that Pilbrow *et al.* (3) used Chebyshev polynomials to fit the field variation of both the

separation of energies and the transition probabilities, but apparently primarily as an aid in computing the derivatives with respect to field.

### CONTRIBUTION OF A LOOPING TRANSITION WITHIN A POWDER SPECTRUM ( $S = \frac{5}{2}$ , NON-AXIAL CASE)

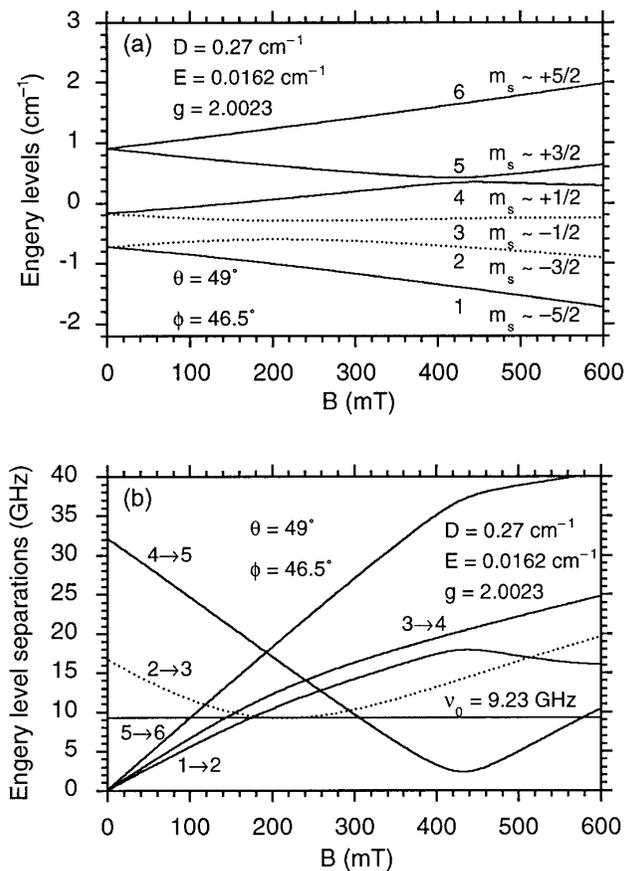
The zero-field splitting of magnetic energy levels for ferric transferrin ( $D \sim 0.25 \text{ cm}^{-1}$ ) (14, 15) is on the order of the X-band quantum ( $\nu/c \sim 0.3 \text{ cm}^{-1}$ ). As a result, there are significant contributions to the observed EMR signals from transitions between pairs of levels that are not degenerate at zero magnetic field (9, 11). The X-band experimental spectra of transferrin oxalate provide a clear example of significant absorption from a looping transition involving Levels 2 and 3 (numbered from lowest in energy to highest) of a non-axially symmetric,  $S = \frac{5}{2}$  spin system, the Hamiltonian for which to second order is given by Eq. [19].

$$\hat{H} = g\beta\mathbf{B} \cdot \mathbf{S} + D(S_z^2 - \frac{1}{3}\mathbf{S}^2) + E(S_x^2 - S_y^2). \quad [19]$$

The experimental EMR spectra of transferrin oxalate can be approximately simulated in calculations that employ a distribution in zero-field splitting parameters (11). Further, the details of the experimental spectra are sensitive to salt and glycerol content of the buffers. For the present discussion, we choose a *single set* of parameters that is within the range of those characteristic of the transferrin oxalate spectra:  $g = 2.0023$ ;  $D = 0.27 \text{ cm}^{-1}$ ;  $E/D = 0.06$ .

At fixed angles between the molecule and the magnetic field, the energy levels at zero field are twofold degenerate (Kramers doublets), while at high field they are dominated by the Zeeman term ( $g\beta B m_s$ ) and split linearly. (For large  $B$ , the  $m_s$  is asymptotically a good quantum number.) The energy-level diagram and the transitions that contribute to the EMR spectra at 9.23 GHz at fixed angles  $\theta = 49^\circ$ ,  $\phi = 46.5^\circ$ , chosen to typify a looping transition where the looping property is crucial, are illustrated in Fig. 5. The standard linear approximation  $\nu(B) - \nu_0 \sim (B - B_0)b$ , Eq. [5], is appropriate only if  $b = (dE/dB)/h$  is constant across the lineshape. Inspection of Figure 5 reveals that where the 2-to-3 (dotted) curve intersects the horizontal line at 9.23 GHz (208 and 222 mT), not only is  $dE/dB$  not constant, but it changes sign within a linewidth.

The contribution of the  $2 \rightarrow 3$  transition to the EMR spectrum can be accurately simulated by fitting  $\nu(B) - \nu_0$  to a cubic polynomial in  $B$ , Eqs. [12]–[16], but it is very poorly simulated by the sum-of-two-separated-resonances-linear-approximation of Eqs. [5] and [9], as illustrated in Fig. 6, with a Gaussian lineshape function and frequency width parameter  $\sigma_\nu = 150 \text{ MHz}$ . Note how the cubic fit is almost indistinguishable from the exact frequencies, and note how the slope  $d\nu/dB$  changes dramatically from negative to pos-

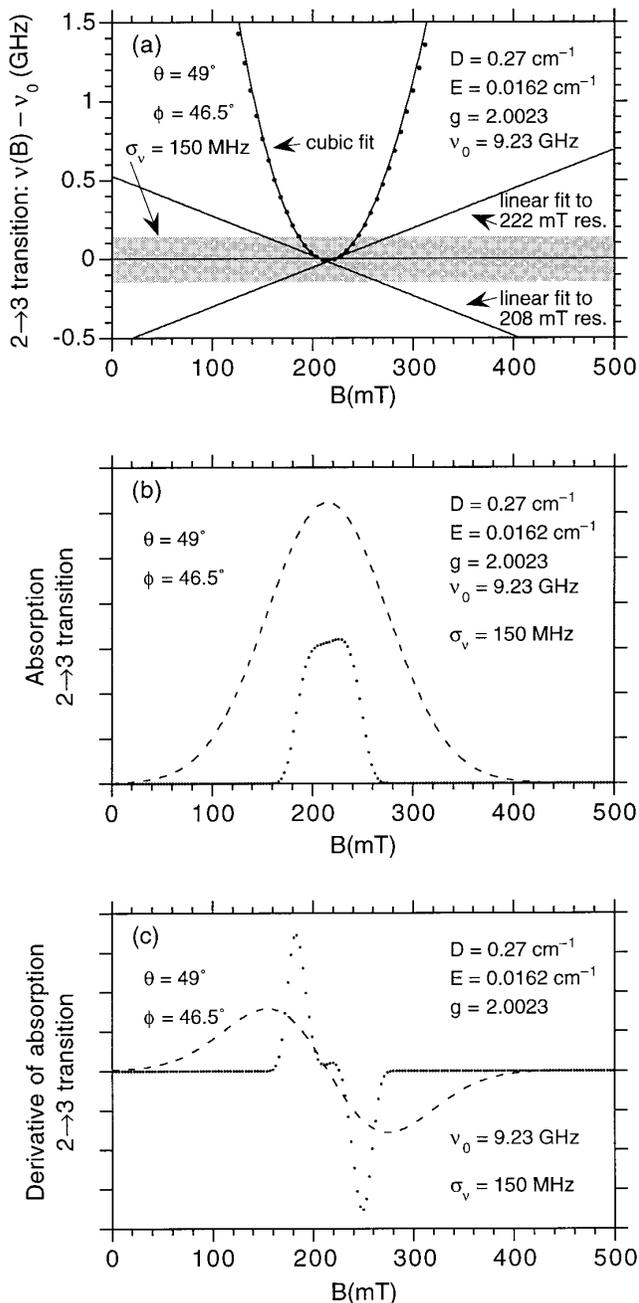


**FIG. 5.** Typical oriented-molecule energy levels for the  $S = \frac{5}{2}$  transferrin system where the two occurrences of a looping transition are within a linewidth. Here the magnetic field makes angles  $(\theta, \phi) = (49^\circ, 46.5^\circ)$  with the molecular axes. The spin-Hamiltonian parameters are given on the plot. (a) Magnetic field dependence of the energy levels. The high-field asymptotic  $m_s$  values are given on the right. At low and moderate fields,  $m_s$  is not a good quantum number, and it is convenient to label the states serially in order of increasing energy. Levels 2 and 3 have been indicated by dotted lines. (b) The field dependence of the six transition-energy differences (in GHz) that contribute significant spectral amplitudes to the final spectrum. The three curves emanating from the origin are the splittings of the Kramers doublets. The other two curves are interdoublet transitions, as labeled. The  $2 \rightarrow 3$  transition, our primary interest here, is indicated by a dotted line. A horizontal line has been drawn at the experimental microwave frequency, 9.23 GHz.

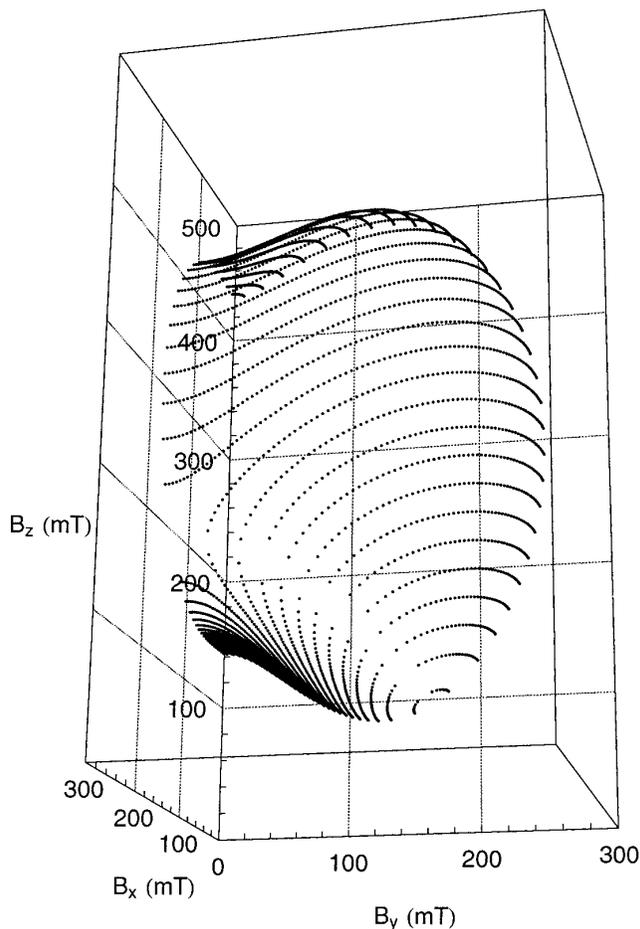
itive within the 150 MHz linewidth. The two linear approximations of  $\nu(B)$  at the resonance fields fit the exact  $\nu(B)$  only for a tiny fraction of the linewidth region. The spectrum calculated using a Gaussian lineshape function, Eq. [8], is much too broad and intense, and it is without the central structure when the sum-of-separated-resonances-linear-approximation is used.

At selected angles the sum-of-two-separated-resonances linear approximation fails. But powder spectra result from an average over angles, and looping transitions are strongly angle-dependent. Moreover, the contribution of the looping transition may be “diluted” by contributions from other

transitions. So the question arises: How much does angle-averaging and dilution mute the near-looping-point contri-



**FIG. 6.** Simulation of the  $2 \rightarrow 3$  looping transition when the magnetic field is at angles  $(\theta, \phi) = (49^\circ, 46.5^\circ)$ . In this overlapping case, the cubic approximation gives an excellent fit, while the linear approximation is too broad, too intense, and without trace of the central structure. (a) Linear and cubic fits (solid lines) to the “transition frequency minus microwave frequency” (dots). The shaded area is bounded by  $\pm\sigma_v = \pm 0.15$  GHz, the frequency width parameter. (b) Absorption contribution using a Gaussian lineshape function, Eq. [8], with frequency width parameter  $\sigma_v = 0.15$  GHz. Dashed line, sum-of-two-separated-resonances linear approximation, Eq. [9]; dots, exact and cubic approximation (which are indistinguishable on this plot), Eqs. [8] and [16]. (c) Derivative of absorption.



**FIG. 7.** Resonance fields for the  $2 \rightarrow 3$  looping transition form a surface in  $\mathbf{B}$  space. The contours are for constant  $\theta$ , which runs from  $1^\circ$  to  $59^\circ$  in steps of  $2^\circ$ . For each value of  $\theta$ , the angle  $\phi$  runs from  $90^\circ$  to  $0^\circ$  in  $1^\circ$  steps, or to the smallest value at which there is still a resonance. For  $\theta \leq 35^\circ$ , the trajectories emerge from the  $B_x B_z$  plane; for  $\theta \geq 39^\circ$ , the trajectories are loops. For each loop, the looping point falls in the gap of white space (at a non-integer value of  $\phi$ ) where the magnetic field vector from the origin is tangent to the surface.

butions to powder spectra, whether calculated accurately or inaccurately?

Towards an answer, we first consider the distribution of resonance fields with respect to angle. More complicated than the cylindrically symmetric ruby case of Fig. 1, the resonance fields depend on two angles, and the analogous plot requires three dimensions. In Fig. 7, the resonance fields for the  $2 \rightarrow 3$  transition are plotted in 3 dimensions vs the magnetic field  $(B_x, B_y, B_z)$ . Because of symmetry, a single octant suffices. The resonance fields map out the surface of a solid. Fixed angles for the magnetic field correspond to a ray from the origin. In general, a ray intersects the solid at two points, the two partners of the looping transition. The figure has been generated by taking  $\theta$  values every 2 degrees, starting with  $\theta = 1^\circ$  and ending with  $\theta = 59^\circ$ . For each value of  $\theta$ ,  $\phi$  decreases in  $1^\circ$  steps from  $90^\circ$  to  $0^\circ$  or to

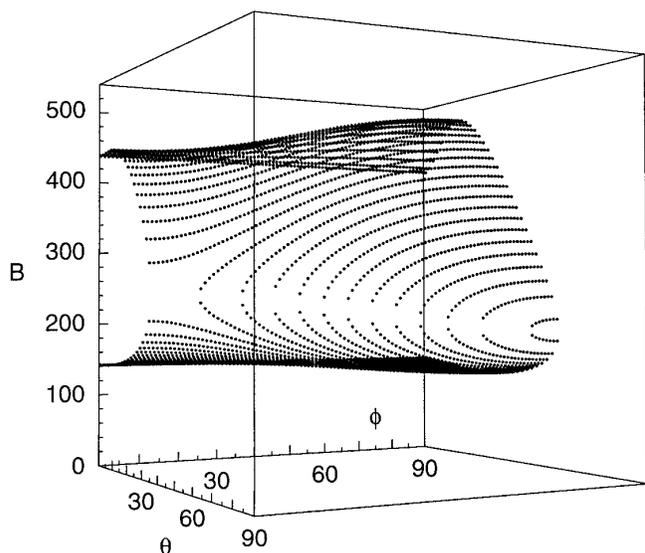


FIG. 8. Resonance field for the  $2 \rightarrow 3$  looping transition vs  $\theta$  and  $\phi$ .  $B$  is in mT.

the smallest value in the sequence for which there is still a resonance field. The dots for sequential  $\phi$  values are much closer together than for sequential  $\theta$  values, so that the “trajectories” for constant  $\theta$  give the appearance of contour lines. In Fig. 7, the  $\theta \leq 35^\circ$  trajectories emerge perpendicularly from the  $B_x B_z$  plane, which is vertical and to the left, while those for  $\theta \geq 37^\circ$  form closed loops. The tightest loop has  $\theta = 59^\circ$  and is towards the lower right. For each of these loops, there are minimum  $\phi$  values below which there are no resonance fields. When a ray from the origin is tangent to the surface, there is a single looping-point resonance field. In Fig. 7, these tangent points occur where the density of points is smallest and would lie in the gaps of white space in the closed curves (delineated by dots at integer values of  $\phi$ ). Since the most pronounced features of the powder spectrum occur where the density of points is greatest, one can infer from Fig. 7 that the looping-point contributions will not be dramatic.

In Fig. 8 the same information is plotted as resonance field strength vs  $\theta$  and  $\phi$ . From this plot one can infer that the  $z$  direction contributes a strongest feature around 140 mT and a less strong feature near 440 mT. The highest field contribution is near 500 mT and corresponds to  $\mathbf{B}$  pointing in a certain direction in the  $yz$  plane.

In addition to these three-dimensional plots, there are two two-dimensional plots that we have used previously (*I, II*) that consist of the projections of Fig. 8 onto the  $B\theta$  and  $B\phi$  planes. These portray the same information as Fig. 8, but make it easier to see certain features. Figure 9 displays  $\theta$  vs resonance field and shows that the minimum field has  $\theta$  near  $39^\circ$ , while the maximum field has  $\theta$  near  $13^\circ$ . Figure 10 plots  $\phi$  vs resonance field and shows that both the minimum and maximum fields have  $\phi = 90^\circ$ . Both Figs. 9 and 10 show the

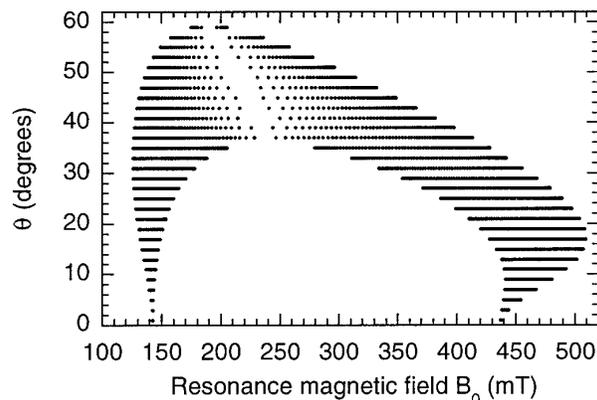


FIG. 9. Resonance field for the  $2 \rightarrow 3$  looping transition,  $\theta$  vs  $B_0$ .

buildup of resonances, and consequently sharp features, when  $B$  is near 140 and 440 mT; the dominating contributions correspond to  $\mathbf{B}$  pointing close to the polar direction. Both figures also show that the looping-points contribute to the region from 180 to 240 mT.

The contribution from each orientation is determined not only by the resonance field, but also by the intensity and derivative  $dv(B_0)/dB_0$ . Figure 11 shows the intensity factor  $\sin \theta |V_{23}(B_0)|^2$  (Eq. [10]) as a function of the resonance field, and Fig. 12 shows  $dv(B_0)/dB_0$  (Eq. [7]). Larger intensity factor means larger contribution, while greater  $|dv(B_0)/dB_0|$  means sharper but weaker contribution (Eq. [9]).

The contribution of the  $2 \rightarrow 3$  looping transition to the powder spectrum is simulated in Fig. 13 by summing over an isotropic distribution of angles. The cubic fit (solid line) vs the sum-of-two-separated-resonances-linear-approximation (dashed line) makes a quantitative but not qualitative difference of at most about 10% to the absorption spectrum. The physical parameters are as given in Fig. 5, and the lineshape is Gaussian, Eq. [8], with  $\sigma_v = 150$  MHz.

The  $2 \rightarrow 3$  looping transition is one of five transitions (of 15 total, see Fig. 5) that contribute significantly to the powder

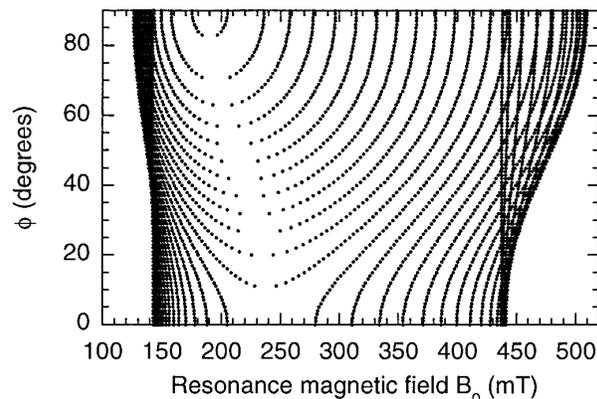
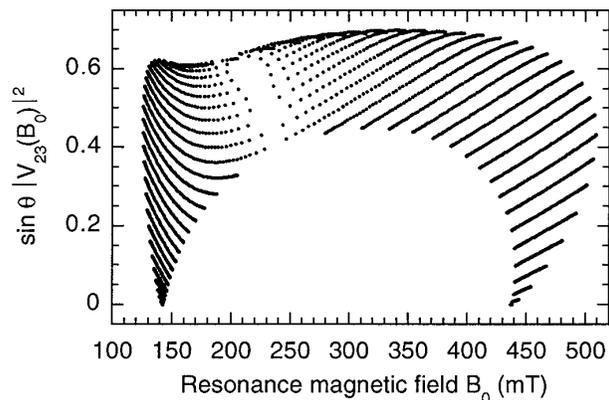


FIG. 10. Resonance field for the  $2 \rightarrow 3$  looping transition,  $\phi$  vs  $B_0$ .

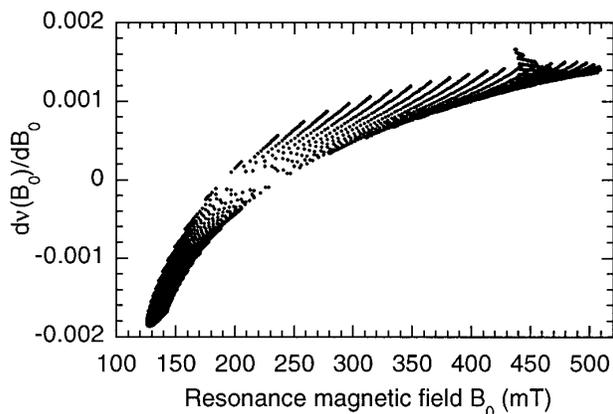


**FIG. 11.** Resonance field for the  $2 \rightarrow 3$  looping transition, intensity factor  $\sin \theta |V_{23}(B_0)|^2$  vs  $B_0$ .

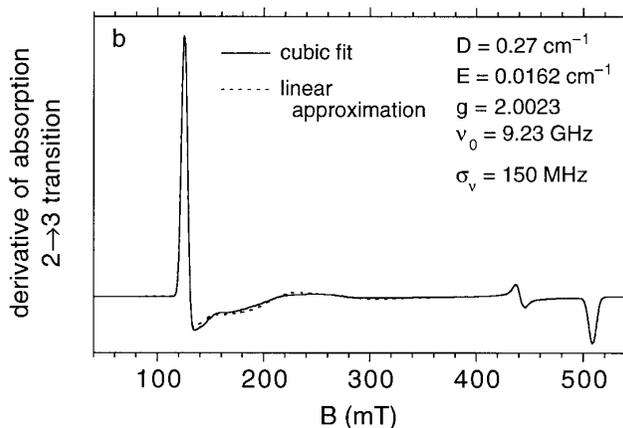
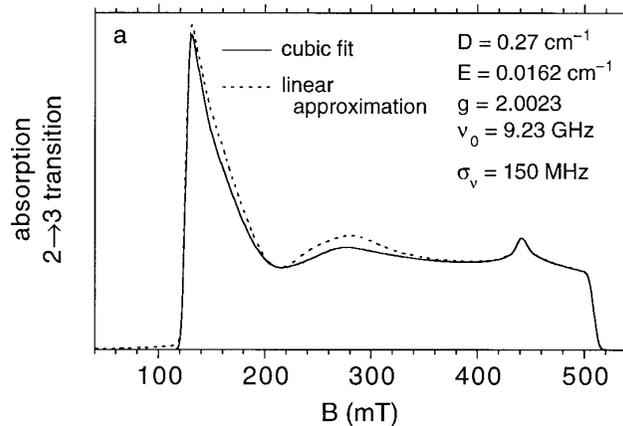
spectrum. The other four transitions are accurately simulated by the linear approximation. The total simulated spectra are shown in Fig. 14. The solid line is for the case that the  $2 \rightarrow 3$  looping transition is done by cubic fit, whereas the dashed line is for the case that the  $2 \rightarrow 3$  (as well as the four other transitions in both cases) is fit by linear approximation. After taking an isotropic distribution over angle, the differences between cubic and linear approximations are quantitative, but not qualitative, with maximum difference in absorption about 10% at any point.

## CONCLUSIONS

This analysis has employed cubic fits to the non-linear regions of energy level separations involved in magnetic resonance transitions. It provides a convenient and efficient method of calculating field-swept EMR spectra that employs four constants that would be calculated regardless of whether the energy separation is linear or non-linear: the two resonance fields for a “looping” transition and the two



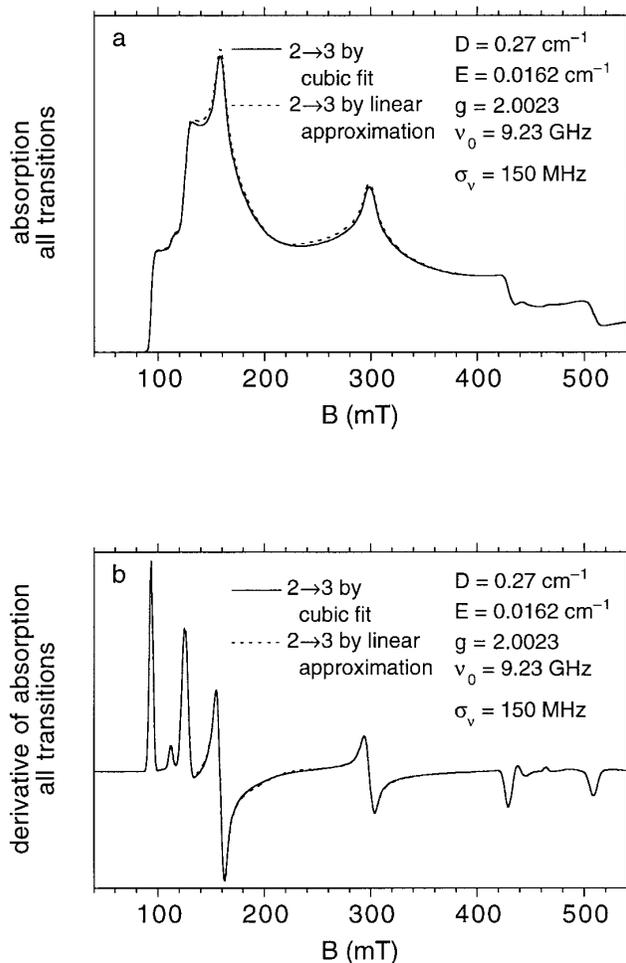
**FIG. 12.** Resonance field for the  $2 \rightarrow 3$  looping transition,  $dv(B_0)/dB_0$  vs  $B_0$ .



**FIG. 13.** Contribution of the  $2 \rightarrow 3$  looping transition to the powder spectrum by cubic fit (solid line) and by sum-of-two-separated-resonances-linear-approximation (dashed line). Cubic fit makes a quantitative but not qualitative difference of at most about 10% at any point in the absorption spectrum. Physical parameters are given on the figure; Gaussian lineshape, Eq. [8], with  $\sigma_v = 150$  MHz.

gradients  $dv(B)/dB$  at the resonance fields. Efficient computation methods become particularly important when simulations of the isotropic distributions of spins are attempted. The transition-probability factors vs magnetic field in the examples presented here have been fit by linear extrapolation between the values at the two resonance fields because (i) linear appears to be sufficient, and (ii) the two values needed for a linear fit are automatically produced when the resonance fields are calculated. (An exception is the “grazing resonance” case treated in Fig. 4.) As pointed out in Ref. (3), there may be examples where there is strongly non-linear variation of transition probability or of linewidth over a transition. In such cases, the method outlined here could be generalized to include these other variables.

For the explicit case of transferrin oxalate, cubic fit to the energy separation of the looping  $2 \rightarrow 3$  transition is crucial at



**FIG. 14.** Calculated spectrum as sum of all significant transitions between the levels of the  $S = \frac{5}{2}$  transferrin system for an isotropic distribution of spins, with parameters shown and with Gaussian lineshape (Eq. [8], with  $\sigma_v = 150$  MHz). Contribution of the  $2 \rightarrow 3$  looping transition to the powder spectrum is by cubic fit (solid line) and by linear approximation (dashed line); all other transitions by linear approximation. (a) Absorption; (b) derivative of absorption.

selected angles. But in the isotropic average of a powder spectrum and subsequent dilution from contributions of other transitions in the same spectral region, it produces quantitative but not so dramatic improvement over the usual sum-of-separated-resonances-linear-approximation.

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